

JUL 07 2004

OFFICIALPATENT
Attorney Docket No. WMI-004CN3
(8415/10)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Dakss, et al.
SERIAL NO.: 09/697,483 GROUP NO.: 2613
FILING DATE: October 26, 2000 EXAMINER: Not Yet Assigned
TITLE: A METHOD AND APPARATUS FOR ENCODING VIDEO
HYPERLINK

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below; OR
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

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- ☐ (3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND
- ☐ the requisite Statement is below, AND
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.

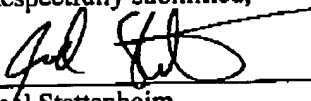
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,


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| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT | | | | ATTORNEY DOCKET NO.: WMI-004CN3 APPLICANTS: Dakss et al. SERIAL NO.: 09/697,483 FILING DATE: October 26, 2000 GROUP: 2613 | | | |
|---|-----|-----------------|----------|--|-------|-----------|----------------------------|
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | A1 | 4,743,959 ✓ | 05/10/88 | Frederiksen | 358 | 11 | 09/17/86 |
| | A2 | 4,903,317 ✓ | 02/20/90 | Nishihara et al. | 382 | 56 | 06/23/87 |
| | A3 | 5,008,745 ✓ | 04/16/91 | Willoughby | 358 | 105 | 12/21/89 |
| | A4 | 5,101,280 ✓ | 03/31/92 | Moronaga et al. | 358 | 426 | 05/17/90 |
| | A5 | 5,124,811 ✓ | 06/23/92 | Ohsawa et al. | 358 | 448 | 08/25/89 |
| | A6 | 5,130,792 ✓ | 07/14/92 | Tindell et al. | 358 | 85 | 02/01/90 |
| | A7 | 5,499,050 ✓ | 03/12/96 | Baldes et al. | 348 | 180 | 11/01/93 |
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| | A11 | 5,757,968 ✓ | 05/26/98 | Ando | 382 | 236 | 09/27/95 |
| | A12 | 5,768,431 ✓ | 06/16/98 | Saunders et al. | 382 | 236 | 09/19/96 |
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| | A14 | 5,790,269 | 08/04/98 | Masaki et al. | 358 | 447 | 12/12/95 |
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| | A16 | 5,835,146 ✓ | 11/10/98 | Stone | 348 | 416 | 09/19/96 |
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| | A19 | 5,883,975 ✓ | 03/16/99 | Narita et al. | 382 | 232 | 09/11/95 |
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| | A21 | 5,969,715 | 10/19/99 | Dougherty et al. | 345 | 327 | 07/06/98 |
| | A22 | 5,978,029 ✓ | 11/02/99 | Boice et al. | 348 | 412 | 01/16/98 |
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| INFORMATION DISCLOSURE STATEMENT | | | | APPLICANTS: Dakss et al. | | | | | |
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| | A29 | 6,215,484 B1 | 04/10/01 | Freeman et al. | 345 | 327 | 10/28/99 | | |
| | A30 | 6,223,211 | 04/24/01 | Hamilton et al. | 709 | 203 | 09/09/99 | | |
| | A31 | 6,239,815 ✓ | 05/29/01 | Frink et al. | 345 | 502 | 04/03/98 | | |
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| | B1 | 0 498 511 A1 ✓ | 08/12/92 | EP | H04N | 1/41 | 02/07/92 | N | Y |
| | B2 | 1 052 854 A1 | 11/15/00 | EP | H04N | 7/173 | 05/08/00 | N | Y |
| | B3 | 1 098 532 A2 ✓ | 05/09/01 | EP | H04N | 7/58 | 05/17/96 | N | Y |
| | B4 | 1 098 533 A2 ✓ | 05/09/01 | EP | H04N | 7/58 | 05/17/96 | N | Y |
| | B5 | 1 098 534 A2 ✓ | 05/09/01 | EP | H04N | 7/58 | 05/17/96 | N | Y |
| | B6 | 0 717 0410 ✓ | 07/04/95 | JP | H04N | 1/41 | 12/15/93 | Y | Y |
| | B7 | 1 102 7540 ✓ | 01/29/99 | JP | H04N | 1/419 | 07/04/97 | Y | Y |
| | B8 | 5 512 4361 ✓ | 09/25/80 | JP | H04N | 1/41 | 03/19/79 | Y | Y |
| | B9 | 99/47084 ✓ | 10/22/98 | WO | G06F | 17/30 | 04/16/98 | N | Y |
| | B10 | 99/49658 | 09/30/99 | WO | H04N | 5/445 | 01/27/99 | N | Y |
| | B11 | 99/52075 ✓ | 10/14/99 | WO | G06T | 11/00 | 03/29/99 | N | Y |
| | B12 | 99/52282 ✓ | 10/14/99 | WO | H04N | 7/08 9/083 | 04/01/99 | N | Y |
| | B13 | 99/52290 ✓ | 10/14/99 | WO | H04N | 7/24 | 03/30/99 | N | Y |
| | B14 | 99/52294 ✓ | 10/14/99 | WO | H04N | 7/26 11/04 | 03/30/99 | N | Y |
| | B15 | 99/52296 ✓ | 10/14/99 | WO | H04N | 7/50 | 04/02/99 | N | Y |
| | B16 | 00/21207 ✓ | 04/13/00 | WO | H04B | 1/66 | 10/04/99 | N | Y |
| | B17 | 00/18136 ✓ | 03/30/00 | WO | H04N | 7/50 7/26 7/68 | 09/20/99 | N | Y |
| | B18 | 00/16544 A1 | 03/23/00 | WO | H04N | | 12/16/98 | N | Y |
| | B19 | 01/17257 A1 | 03/08/01 | WO | H04N | 7/173 | 08/28/00 | N | Y |
| | B20 | 01/17259 A1 | 03/08/01 | WO | H04N | 7/173 | 08/28/00 | N | Y |

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| FORM PTO - 1449 | | | | | ATTORNEY DOCKET NO.: WMI-004CN3 | | | | |
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| | | | | | SERIAL NO.: 09/697,483 | | | | |
| | | | | | FILING DATE: October 26, 2000 GROUP: 2613 | | | | |
| | B21 | 01/17260 A1 | 03/08/01 | WO | H04N | 7/173 | 08/28/00 | N | Y |
| | B22 | 01/17261 A1 | 03/08/01 | WO | H04N | 7/173 | 08/28/00 | N | Y |
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| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | |
| | C1 | Bove et al., "Adding Hyperlinks to Digital Television", pgs. 795-801, SMPTE Journal, SMPTE Inc. Scarsdale, N.Y., US, Vol. 108, No. 11, November 1999 | | | | | | | |
| | C2 | PCT International Search Report for International Application No.: PCT/US0106411, mailed on December 13, 2001 | | | | | | | |
| | C3 | Nakanishi, "An Image Reduction Method Using MR Codes", pgs. 1-10, Systems and Computer in Japan. Vol. 28, No. 7, 1997 | | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | | | |

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